

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 17, 2021

ALTO INGREDIENTS, INC.

(Exact Name of Registrant as Specified in Charter)

<u>Delaware</u> (State or Other Jurisdiction of Incorporation)	<u>000-21467</u> (Commission File Number)	<u>41-2170618</u> (IRS Employer Identification No.)
<u>1300 South Second Street</u> <u>Pekin, Illinois</u> (Address of Principal Executive Offices)		<u>61554</u> (Zip Code)

Registrant's Telephone Number, Including Area Code: (916) 403-2123

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.001 par value	ALTO	The Nasdaq Stock Market LLC (Nasdaq Capital Market)

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.07 Submission of Matters to a Vote of Security Holders**

The 2021 Annual Meeting of Stockholders ("Annual Meeting") of Alto Ingredients, Inc. (the "Company") was held on June 17, 2021. The following proposals were approved at the Annual Meeting by the votes indicated:

Proposal One: To elect seven directors to serve on the Company's board of directors until the next annual meeting of stockholders and/or until their successors are duly elected and qualified. The nominees for election were William L. Jones, Michael D. Kandris, Terry L. Stone, John L. Prince, Douglas L. Kieta, Gilbert E. Nathan and Dianne S. Nury.

The following nominees were elected by the votes indicated to serve as directors until the next annual meeting of stockholders and/or until their successors are duly elected and qualified:

Name	Total Votes for Director	Total Votes Withheld from Director	Total Broker Non-Votes
William L. Jones	17,977,678	7,389,197	18,032,227
Michael D. Kandris	20,043,826	5,323,049	18,032,227
Terry L. Stone	19,870,093	5,496,782	18,032,227
John L. Prince	17,009,171	8,357,704	18,032,227
Douglas L. Kieta	17,297,927	8,068,948	18,032,227
Gilbert E. Nathan	19,194,277	6,172,598	18,032,227
Dianne S. Nury	24,657,562	709,313	18,032,227

Proposal Two: To approve the 2020 compensation of the Company's named executive officers, as disclosed in the proxy statement pursuant to the compensation disclosure rules of the Securities and Exchange Commission ("say-on-pay").

	Total Votes
For	17,197,391
Against	7,901,149
Abstain	268,335
Broker Non-Votes	18,032,227

Proposal Three: To ratify the appointment of RSM US LLP as the Company's independent registered public accounting firm for the year ending December 31, 2021.

	<u>Total Votes</u>
For	42,044,922
Against	1,054,496
Abstain	299,684
Broker Non-Votes	N/A

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALTO INGREDIENTS, INC.

Date: June 17, 2021

By: /S/ CHRISTOPHER W. WRIGHT  
Christopher W. Wright,  
Vice President, General Counsel & Secretary

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